

UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: K. OSAKABE

Group No.: UNKNOWN

Serial No.: 10/611,330

Examiner: UNKNOWN

Filed:

JULY 1, 2003

For: RECORDABLE OPTICAL DISK AND OPTICAL DISK RECORDING DEVICE

TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT

Mail Stop DD Commissioner for Patents P. O. Box 1450 Alexandria, VA 22313-1450

Dear Sirs:

- 1. The information disclosure statement submitted herewith is being filed within three months of the filing date of the application or date of entry into the national stage of an international application or before the mailing date of a first Office Action on the merits, whichever event occurs last. 37 C.F.R. §1.97(b).
- 2. The information disclosure statement transmitted herewith is being filed after three months of the filing date of this national application or the date of entry of the national stage as set forth in §1.491 in an international application or after the mailing date of the first Office Action on the merits, whichever event occurred last but before the mailing date of either:
 - (1) a final action under §1.113 or
 - (2) a notice of allowance under §1.311, whichever occurs first.

CERTIFICATION OR FEE

	A.	Included with this transmittal is:												
		i.	§1 be ch	certification (set forth below) in accordance with 37 C.F.R. 1.97(e). (If for any reason the certificate set forth below should cunsatisfactory, the Commissioner is provisionally authorized to large the \$240 fee (37 C.F.R. §1.17(p)) to Deposit Account No. 5-1805. A copy of this sheet is enclosed.)										
		ii.		OR he attached fee set forth in 37 C.F.R. §1.17(p) for submission of an information disclosure statement under §1.97(c). (\$180.00).										
3.		The information disclosure statement transmitted herewith is being filed <i>after</i> a final action under §1.113 or a notice of allowance under §1.311, whichever occurs first, but before, or simultaneously with the payment of the issue fee.												
			CERT	TIFICATION, PETITION AND FEE										
	A.	In ac	In accordance with the requirements of 37 C.F.R. §1.97(d):											
		i. Set forth below is a certification as specified in 37 C.F.R. §1.97(e).												
		ii.		hereby petitions for the consideration of the accompanying on disclosure statement. 37 C.F.R. §1.97(d)(ii).										
		iii.	Applicant	submits the petition fee set forth in §1.17(i)(1). (\$180.00).										
				CERTIFICATION										
			(Re	equired if 2Ai or 3 above is marked)										
4.	I, the	I, the person signing below, certify												
		that each item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application and that the communication is dated not more than three months prior to the filing of the statement. 37 C.F.R. §1.97(e)(1).												
		that no item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application or to the knowledge of the person signing the certification after making reasonable inquiry, was known to any individual designated in §1.56(c) more than three months prior to the filing of the statement. 37 C.F.R. §1.97(e)(2)												

5. If it should be determined that for any reason either an insufficient or an excessive fee has been paid, please charge any insufficiency or credit any overpayment necessary to ensure consideration of the information disclosure statement for the above-identified application to Deposit Account No. 16-1805. A copy of this petition is enclosed.

Respectfully submitted,

PILLSBURY WINTHROP LLP

Dated: August 21, 2003

James M. Wakely
Registration No. 48,597
Attorney for Applicant(s)

725 South Figueroa Street, Suite 2800

Los Angeles, CA 90017-5406 Telephone: (213) 488-7100 Facsimile: (213) 629-1033

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Mail Stop DD, Commissioner for Patents, P. O. Box 1450, Alexandria VA 22313-1450, on 8/20/03.

James M. Wakely, Reg. No. 48 59

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